

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/788,331
				Filing Date	02/16/2001
				First Named Inventor	Sercel
				Art Unit	2882 2874
				Examiner Name	George Wang Lee
				Attorney Docket Number	ALG07NP
Sheet	1	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
ADJ		US- 09/440,311	filed 11-12-1999	Vahala et al	
ADJ		US- 09/454,719	filed 12-07-1999	Vahata et al	
ADJ		US- 09/733,357	filed 12-07-2000	Yariv	
ADJ		US- 09/802,442	filed 03-09-2001	Painter et al	
ADJ		US- 80/183,499	filed 02-17-2000	Sercel et al	
ADJ		US- 86/226,147	filed 08-18-2000	Sercel et al	
ADJ		US- 60/257,248	filed 12-21-2000	Painter et al	
ADJ		US- 09/788,303	filed 02-16-2001	Sercel et al	
ADJ		US- 09/788,306	filed 02-16-2001	Vahala et al	
ADJ		US- 09/788,301	filed 02-16-2001	Sercel et al	
ADJ		US- 6,101,300	iss 08-08-2000	Fan et al	
ADJ		US- 5,281,247	iss 01-25-1994	Haruhiko et al Aikawa et al.	
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		US-			
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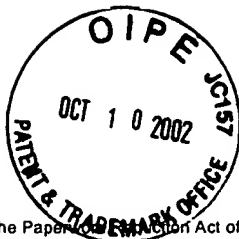
Examiner Signature	<i>John D. Lee</i>	Date Considered	18 July 2003
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PTO/SB/08B (10-01)

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		Attorney Docket Number	ALG07NP
Sheet	2	of	2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
JJZ		J. C. Knight, G. Cheung, F. Jacques, and T. A. Birks, "Phased-matched excitation of whispering-gallery-mode resonances by a fiber taper", Optics Letters Vol. 22 1129 (1997)(Aug.)	
JJZ		N. Dubreuil, J. C. Knight, D. K. Leventhal, V. Sandoghdar, J. Hare, and V. Lefevre, "Eroded monomode optical fiber for whispering-gallery mode excitation in fused-silica microspheres", Optics Lett. 20 813 (1995)(April)	
JJZ		M. Kuwata-Gonokami, R. H. Jordan, A. Dodabalapur, H. E. Katz, M. L. Schilling, R. E. Slusher, and S. Ozawa, "Polymer microdisk and microring lasers", Optics Letters Vol 20(20) 2903 (1995)(Oct.)	
JJZ		Y. Kawabe, Ch. Spiegelberg, A. Schulzgen, M. F. Nabor, B. Kippelen, E. A. Mash, P. M. Allemand, M. Kuwata-Gonokami, K. Takeda, and N. Peyghambarian, "Whispering-gallery-mode microring laser using a conjugated polymer", Applied Physics Letters Vol 72(2) 141 (1998)(Jan.)	
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Examiner Signature	John D. Jue	Date Considered	18 July 2003
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